



# FY 2021 Scientific Infrastructure Support Funding Opportunity Announcement DE-FOA-0002362

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#### Outline

- FOA Overview
- NSUF Integration
- Reactor Upgrades
- General Scientific Infrastructure
- Best Practices
- Pitfalls to Avoid
- Contact Information



# **FOA Highlights**

- Funding Mechanism
  - Funding supplied by DOE-NE
  - Grants issued by DOE-ID
- Two Funding Opportunities for US Universities
  - Reactor Upgrades
  - General Scientific Infrastructure
- Find the FOA (DE-FOA-0002362) at <u>www.grants.gov</u>
- Submit applications at <u>www.neup.gov</u>
- Additional information at nsuf.inl.gov/Page/infrastructurefoa

# **Important Dates**

- FOA release date: August 17, 2020
- Applications due: November 12, 2020
- Anticipated award announcement: July 31, 2021



# Nuclear Science User Facilities (NSUF)

- DOE-NE provides nuclear energy researchers access to world-class capabilities to facilitate the advancement of nuclear science and technology through the NSUF.
  - Access to the NSUF and its partner facilities is granted through a separate competitive proposal process.
- To apply to any of the areas in this FOA, the applicant is required to demonstrate the <u>ability and willingness</u> to join the NSUF as a partner should NSUF offer an invitation.
- If the NSUF determines the new equipment/capability adds significant value to DOE, the awarded institution may be invited to join the NSUF, so the equipment is available to other researchers.



**Nuclear Science** 

# **FOA Organization**

Area 1 – University Reactor Upgrades Infrastructure Support





Area 2 – University General Scientific Infrastructure Support

# University Reactor Upgrades (RU)

#### Award Size

- Maximum individual award: \$5,000,000
- Expected award range: Up to \$1,500,000
- DOE anticipated to award several smaller awards

#### Estimated Funding Level

Approximately \$2.5 million

#### Period of Performance

1 year (ask for what you need)



#### Only educational reactors fueled by DOE are eligible

- List can be found in Part I.C.1.1 of FOA
- Each institution is permitted to submit a single, separate application for each research reactor they operate.

#### **RU Review Criteria**

Each application will receive a merit review by DOE, university peers, and NSUF reviewers

#### **Review Criteria**

- (55%) **Safety and/or Security** Potential of the requested equipment, instrumentation, or modification to:
  - Enhance the <u>safety</u>, <u>performance</u>, <u>control</u>, <u>or operational reliability</u> of research reactor systems; or
  - Increase the <u>quality</u>, <u>safety/security</u>, <u>or efficiency of the operation</u> of the research reactor facility.
- (15%) Impact Potential of the requested equipment, instrumentation, or modification to <u>facilitate</u>, <u>improve</u>, <u>or expand</u> ongoing Office of Nuclear Energy research and training capabilities;
- (15%) Utilization As a result of the proposed equipment, the amount of student and faculty <u>usage of the research reactor facility</u>, and the amount and variety of research and/or services actually provided by the facility;
- (15%) Execution Capability to implement the full scope of the project, including timely project completion, personnel qualifications, budget, and feasibility.

# RU Focus Area – Console Spare Parts

- A high priority for this call is the procurement of <u>spare parts and</u> <u>associated hardware</u> for research reactor control consoles.
  - These systems are vital for continued safe and reliable reactor operation.
  - Established vendors are leaving the market.
- Proposals to support continued longterm operation of the university research reactor by purchasing spare parts, subsystems, channels, and detectors will be highly regarded.





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# General Scientific Infrastructure (GSI)



- Award Size
  - Maximum DOE funding per individual university award: \$5,000,000
    - Anticipated award size \$250,000
- Period of Performance
  - 1 year (ask for what you need)
- Eligibility
  - US universities are eligible to submit applications
  - One application per institution can be submitted to the GSI area of this FOA.
  - University cost match (1:1) required after \$250,000
- Estimated Funding Level
  - Approximately \$2.5 million

# **GSI** General Requirements

- Applications can be submitted for <u>equipment</u>, <u>software</u>, <u>instrumentation</u>, and associated nonreactor upgrade requests that support nuclear energy-related R&D or education.
- Funding requests may include, but are not limited to, equipment and instrumentation for specialized facilities, classrooms and teaching laboratories, and non-reactor NS&E research.
- Infrastructure requests that support the sharing and use of equipment and instrumentation by multiple campuses of a university, multiple universities, or national laboratories are encouraged.





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#### FY2021 GSI Focus Areas

- NSUF performs an annual Nuclear Energy R&D capabilities gap analysis to identify areas for investment.
  - Applications addressing these identified needs will be given higher priority.
- For FY 2021, specific areas of interest are:
  - In situ radiation-enhanced corrosion capabilities
  - Nuclear fuel and materials (characterization) including high throughput characterization
  - Non-LWR environment material testing capabilities
  - Capabilities to perform work on radioactive/irradiated materials (>5mr/hr @ 30 cm, beta/gamma only)

#### **GSI** Reminder of Excluded Areas

NSUF provides access to high-performance computational resources at INL at no cost to users

- Applications requesting to purchase scientific computing equipment (such as institutional clusters, high-performance computing (HPC) nodes, etc.) will not be entertained.
- See nsuf.inl.gov or hpc.inl.gov for information on accessing HPC resources



Courtesy of Eric Whiting, Director of Scientific Computing (INL)

#### **GSI Review Criteria**

Each application will receive a merit review by DOE, university peers, and NSUF reviewers

#### **Review Criteria**

- (25%) Impact Potential of the requested equipment, instrumentation, or modification to <u>facilitate</u>, <u>improve</u>, <u>or expand</u> ongoing Office of Nuclear Energy research and training capabilities;
- (25%) Utilization As a result of the proposed equipment, the amount of student, faculty, or researcher <u>usage of the capabilities</u>, and the amount and variety of research and/or services actually provided by the facility;
- (25%) NSUF Priority <u>Importance of the proposed upgrade</u> to the Nuclear Science User Facilities as either improving an existing partner facility or as a potential partner facility.
- (25%) Execution <u>Capability to implement the full scope of the project</u> including timely project completion, personnel qualifications, budget, and feasibility.

# GSI Cost Sharing & Cost Match

#### **Cost Matching**

- Cost match is required on university GSI projects that exceed
   \$250,000
- Dollar for dollar matching requirement, up to the project ceiling of \$1,500,000
  - e.g. If the total project's cost was \$350,000; the university would be responsible for \$50,000 and DOE's share would be \$300,000
- Anticipated award range will be around \$250,000 for most applications

Cost sharing is encouraged, but is not required in any part of this FOA

Contact the DOE-ID Contracting Office with questions.

#### **Best Practices**

# The infrastructure requested should be individual, discrete, and definable items or capabilities that will:

- 1. Support, maintain, or enhance the institutions' capacities to attract and teach <u>high quality students</u> interested in nuclear energy-related studies
- 2. Build the institutions' research or education capabilities
- 3. Enhance the institutions' capabilities to perform R&D that is relevant to DOE-NE's mission

#### Focus on a single, synergistic goal or capability.

 A proposal made of several uncorrelated equipment requests in does not meet the goals of this FOA.

#### Try not to duplicate existing capabilities.

 To see NE R&D capabilities in the US, review the Nuclear Energy Infrastructure Database (NEID) available at <a href="https://nsuf-infrastructure.inl.gov">https://nsuf-infrastructure.inl.gov</a>

#### Specifically list any DOE-NE R&D program relevance.

#### Pitfalls to Avoid

#### Restrictions on the use of funds under this FOA:

- The application cannot include <u>hiring or other human capital costs</u>.
   Personnel or indirect costs are not allowed, except as specified in this FOA.
- The application cannot include the <u>cost of routine operation</u> and maintenance of equipment.
- Non-standard installation costs for equipment and instrumentation that are beyond the vendor's standard installation cost are not allowed.
- Institution-specific costs, not specific to the equipment or instrumentation, are the responsibility of the applicant.
  - For example, if a vendor needs to meet safety and health requirements to access the campus or a facility, these costs are the responsibility of the applicant.
- Funds are restricted to equipment for activities supporting <u>research</u>, <u>teaching</u>, <u>and education</u>.
- Upgrades to increase operational profit or support for <u>commercial activities</u> are not allowed.

Ensure that you have the most recent version of the FOA and that you have reviewed it and are in compliance with a all requirements.

#### **Contact Information**



- Technical questions can be submitted to:
  - Brenden Heidrich (TPOC)
    - NSUF@INL.gov
    - The Infrastructure FOA Q&A section at <a href="https://nsuf.inl.gov/Page/infrastructurefoa">https://nsuf.inl.gov/Page/infrastructurefoa</a>
- Procurement questions can be submitted to:
  - Trevor Bluth (DOE-ID Contract Specialist)
    - bluthtm@id.doe.gov
- Application Site
  - www.neup.gov

# Questions?

